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THANSMITTAL FORM			Application No.	10/56	52,378		
			Filing Date	Dece	mber 23, 2005		
(to be used for all correspondence after initial filing)			First Named Inventor	Thon	nas Christ		
			Art Unit				
	<u></u>		Examiner Name	Unkr	iown		
Total Number of F	Pages in This Submissi	on 12	Attorney Docket Number	er 6741	P090		
ENCLOSURES (check all that apply)							
Fee Transmittal	Form	Drawing(s)			After Allowance Communication to TC		
Fee Attached		Licensing-r	sing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Response		Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Fina	"	Petition to Convert a Provisional Application			Proprietary Information		
Affidavits/declaration(s) Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		s D	Status Letter		
Express Abandonment Request		Terminal Disclaimer		×	Other Enclosure(s) (please identify below):		
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Firm	Thomas M. Coester, Reg. No. 39,637						
<i>or</i> Individual name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP						
Signature	Thomas Coeste						
Date	October 10, 20						
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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.							
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Signature		Drelle		Date	October 10, 2006		

statement of facts which would comply with Local Rule 56(b)(2)(B). Though

for FY 2005 Patent fees are subject to annual revision.				Filing Date December 23, 2005 First Named Inventor Thomas Christ					
Applicant claims small entity status. See 37 CFR 1.27.				Examiner Name		nown			
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METHOD OF PAYMENT (check all that apply)									
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Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP									
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1806	180 180	6 180	Subm	Submission of Information Disclosure Stmt					
1809	790 180	9 395	Filing a submission after final rejection (37 CFR § 1.129(a))						
1810	790 281	0 395	95 For each additional invention to be examined (37 CFR § 1.129(b))						
Other fee (specify)									
SUBTOTAL (2) (\$) 130.00									
SUBMITTED E	В						Comp	lete (if applicable)	
Name (Print/Type)	Thomas M.	Coester			Registration No. (Attomey/Agent)	39,637	Telephone	(310) 207-3800	
Signature	(Man	11/1	este		,		Date	10/10/06	



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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/562,378 Thomas Christ 6741P090 INTERNATIONAL APPLICATION NO. PCT/EP04/50623 I.A. FILING DATE Blakely Sokoloff Taylor & Zafman PRIORITY DATE 04/28/2004 07/31/2003

7th Floor 12400 Wilshire Boulevard Los Angeles, CA 90025

CONFIRMATION NO. 8055 371 FORMALITIES LETTER

OC000000020306760

Date Mailed: 09/06/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/23/2005.
- Preliminary Amendments filed on 12/23/2005
- Request for Immediate Examination filed on 12/23/2005
- U.S. Basic National Fees filed on 12/23/2005
- Priority Documents filed on 12/23/2005

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/562,378	PCT/EP04/50623	6741P090

FORM PCT/DO/EO/905 (371 Formalities Notice)

10/10/06 **Date**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re th	e Application of:	-				
Тном	1AS CHRIST, ET AL.					
Application No.: 10/562,378			Art Group:			
Filed:	December 23, 2005		Examiner:	Unknown		
For:	DETERMINING DISTANCES IN A WARE	CHOUSE				
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P.O. Bo	ox 1450					
Alexan	dria, VA 22313-1450					
	RESPONSE TO NOTICE	TO FILE MISSI	NG PARTS			
Sir:						
	In response to the Notice to File Missing Parts mail	ed September 6, 20	006, please find e	nclosed:		
	- a duly executed Declaration and Power of Attorne	ey with payment in	the amount of \$1	30.00 for the surcharge of		
37 CFR	R § 1.16(e);					
	and					
	- copy of the Notice to File Missing Parts of Applic	cation.				
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Transm	ittal is enclosed for deposit account charging purpose	s.				
		Respectfully s	ubmitted,			
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Date:	October 10, 2006	Thomas	s Coesle	,		
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Susan M. Barrette

abruptly. The plaintiff attempted in his appeal brief to amplify the first allegation, stating that what Schneider had said to another inmate—presumably Anthony—in the presence of the plaintiff and other inmates was that if the plaintiff would "put his Weiner [penis]" in the other inmate's mouth the inmate would smile.

The remarks attributed by the plaintiff to Schneider, including the "smile" references and the display of Schneider's own penis in his repeated public urinations... could have been understood by the inmates as implying that the plaintiff is homosexual. The fact that Schneider is a Sergeant may have amplified the impact of his remarks.

In his appellate filings the plaintiff further claims that other inmates would harass him by calling him names such as "punk, fag, sissy, and queer," all of course derisive terms for homosexuals and possibly inspired or encouraged by Schneider's comments—and we note in this connection that the complaint charges the two defendants (realistically, though, just Schneider, not the warden) with sexual harassment. Conceivably the plaintiff feared that Sergeant Schneider's comments labeled him a homosexual and by doing so increased the likelihood of sexual assaults on him by other inmates.

The plaintiff claims to have experienced severe psychological harm as a result of the incidents described in his complaint—psychological harm that induced him to seek "psych service" help repeatedly from the prison's Clinical Services division. He has filed records of these visits and also proof that he filed a grievance with the prison concerning Schneider's comments and that on May 24, 2013, the prison upheld the grievance. Though it has been more than two years since that ruling, the plaintiff states without contradiction that he's been unable to learn what findings emerged from the grievance proceeding and whether any punishment was imposed on Schneider for his misconduct. Those findings might either strengthen or weaken his case. The magistrate judge should have ordered the defendants to produce them.

And [the Magistrate Judge] erred in saying that "the plaintiff alleges only verbal harassment." Urinating isn't verbal. We can imagine, as suggested in the preceding paragraph, that

the plaintiff was seriously upset by Schneider's nonverbal as well as verbal behavior, which may have made him a pariah to his fellow inmates and inflicted significant psychological harm on him.

Id. at 358-59 (citation omitted).

Of critical importance is the standard for assessing claims of Eighth Amendment violative "cruel and unusual" punishment, of which this is a derivation. DeWalt v. Carter, 224 F.3d 607, 612 (7th Cir. 2000). The test is not merely subjective, but also includes an objective component. *Fillmore v. Page*, 358 F.3d 496, 509 (7th Cir. 2004). Fillmore explained that "[t]he objective component focuses on whether, in light of contemporary standards of decency, the alleged deprivation was sufficiently serious. The subjective component involves an inquiry into whether the officials acted with a sufficiently culpable state of mind." Id. (quoting Thomas v. Stalter, 20 F.3d 298, 301 (7th Cir. 1994). Similarly, in evaluating a plaintiff's claim that he feared a guard would attack him, Dobbey noted that "the test for what constitutes 'cruel and unusual punishment' is an objective one. It is not the actual fear of the victim, but what a 'reasonable' victim would fear." Dobbey v. Illinois Dept. of Corr., 574 F.3d 443, 445 (7th Cir. 2009). Further, the actor must have intended to "inflict psychological pain." Calhoun v. DeTella, 319 F.3d 936, 939 (7th Cir. 2003).

3.1 The April 30, 2013 Comment and Urination Incidents

Recall the grounds for Beal's claim: 1) the April 30, 2013 "hot dog" comment, 2) the unspecified number of urination incidents, and 3) the unspecified number of showering incidents. In Beal's case, the first two grounds fail to support a claim of sexual harassment because they do not pass

the objective test; on the undisputed facts, no reasonable person would have felt constitutionally impermissible harassment as a result of Schneider's conduct. The Court of Appeals evaluated the conduct as a whole, combining the April 30 comment and the instances of urination into one contiguous claim of harassment, and so will this Court. Unlike the allegations presented to the Court of Appeals, the facts show that Schneider did not smile at anyone while using the bathroom, and kept the door open only because he had to maintain order in the housing unit, not to display his penis to the inmates. With respect to the April 30 comment, Schneider never actually referenced a penis, but instead said "hot dog," and certainly never said that any inmate should put their penis inside any other inmate.

Even assuming the worst—that a fully-fledged sexual innuendo was intended by Schneider and received by Rodriquez and Beal—Schneider's comment still falls below the level of "cruel and unusual" punishment. It was an isolated instance of inappropriate bathroom humor, resulting from Schneider's poor judgment, for which he immediately apologized. This conduct does not approach the seriousness of hanging a noose in view of black inmates, which the *Dobbey* court rejected as a mere harassment, or the hypotheticals advanced by the *Beal* court involving lying to a prisoner about having brain cancer or about the prisoner's family being killed in a car crash.

Dobbey, 574 F.3d at 446; *Beal* 803 F.3d at 357.² In sum, while Schneider's actions may have been "unprofessional and deplorable," they were "fleeting" and thus unable to support a reasonable jury finding on the objective component of a sexual harassment claim. *See DeWalt*, 224 F.3d at 612; *Beal*, 803 F.3d at 358.³

Beal's reaction to Schneider's conduct supports this conclusion. When interviewed as part of the Rodriquez investigation, Beal was far from upset with Schneider. Beal said he liked Schneider and that Schneider had simply made a mistake in making the comment. Beal was interviewed again on May

The proposition that verbal harassment cannot amount to cruel and unusual punishment is incorrect. Suppose a prisoner is having severe headaches and he complains about them to a prison doctor, who writes him a prescription for a powerful drug. A malicious guard learns of this and tells the prisoner the following lie: "the doctor didn't tell you, but he told me: you have incurable brain cancer and will be dead in three months. Now let me tell you what he told me are the symptoms you will be experiencing as your cancer worsens." Or the guard, again lying, tells another prisoner: "I am sorry to have to inform you that your wife and children have been killed in a car crash." The harassment in both cases is purely verbal, yet as cruel (and, one hopes, as unusual) as in cases of physical brutalization of prisoners by guards. To attempt to draw a categorical distinction between verbal and physical harassment is arbitrary. In short, "the alleged pain [sufficient to constitute cruel punishment] may be physical or psychological." Watison v. Carter, 668 F.3d 1108, 1112 (9th Cir. 2012) (emphasis added).

Beal, 803 F.3d 357.

²The complete hypotheticals are as follows:

³Though the Court of Appeals did not have occasion to pass on the issue, the factual record now shows that Schneider can also defeat the intent component. He states that he never intended to harass anyone with his conduct, and this is supported by his immediate apology. (Docket #55 ¶¶ 11-12, 17-19, 36-37).

8, 2013, confirming most of what he had said previously, but now stating that the comment bothered him. (Docket #57-1 at 16). That was the extent of Beal's complaint, though; he never said that he felt sexually harassed by Schneider, only that Schneider "shouldn't have said that comment." *Id.* If Beal himself felt no desire to complain of harassment when given the opportunity to do so immediately after the incidents in question, Beal cannot claim that a reasonable jury would disagree with him.⁴

Beal's arguments to the contrary do not save these grounds from dismissal. First, he contends that the investigator's finding of harassment, and Schneider's violation of prison policies on sexual comments, proves his claim. However, the claim is for "cruel and unusual" punishment, not violation of a policy or harassment per internal prison guidelines, and so must be based on the Eighth Amendment and interpreting case law. *See* Seventh Circuit Pattern Jury Instruction 7.04 (instructing that the violation of an internal rule or regulation is not determinative of the ultimate, constitution-based claim); *see also Doe by Nelson v. Milwaukee Cnty.*, 903 F.2d 499, 502 (7th Cir. 1990). That law, cited above, shows that no constitutionally impermissible

⁴The Court of Appeals stated that Beal "filed a grievance with the prison concerning Schneider's comments and that on May 24, 2013, the prison upheld the grievance." *Beal*, 803 F.3d at 359. This seems to be based on the May 24, 2013 letter Beal received from the investigators. (Docket #57-1 at 39). The investigation, however, was for *Rodriquez's* complaint, not Beal's. (Docket #57 at ¶¶5-8) (showing that investigation report number 1147 was Rodriquez's complaint). The letter states that Beal "made an allegation of staff sexual harassment which prompted an internal PREA investigation." (Docket #57-1 at 39). It is not clear why the investigators sent the letter to Beal; perhaps they did so because he was a key actor in the underlying events. It is also not clear what "allegation" they are referring to, but it may be based on Beal's interview quoted above. In any event, no one has presented evidence of an independent grievance filed by Beal.

harassment occurred. Second, in arguing about the urination incidents, Beal states nothing about Schneider's urination being specifically directed at him or anything about alleged smiling, but instead that the conduct was "unprofessional" and "disrespectful." (Docket #59 at 5). This argument hurts, rather than helps, his position. Being subjected to lack of professionalism is not "cruel and unusual." *See DeWalt*, 224 F.3d at 612. Third, Beal attempts at various points in his brief to dispute the facts of the April 30 incident, but as noted above, this wholly ignores the rules of procedure. Finally, Beal complains of the results of Schneider's conduct. The Court sympathizes with Beal's plight but it cannot reach the results of a harassing event without first finding actionable harassment. Accordingly, the Court is constrained to dismiss these first two grounds for Beal's Eighth Amendment sexual harassment claim.

3.2 Inmate Showering

On May 13, 2016, Beal submitted an amended complaint stating the three grounds for his sexual harassment claim identified above. (Docket #42 at 4-5). On June 1, 2016, the Court screened that complaint, stating that it was "nearly identical to his previous complaint," and that it "does not include any new factual allegations that materially alter the Court's analysis in the previous screening order." (Docket #45 at 3). The original complaint only stated the first two grounds, however, and those were all that was identified in the previous screening order issued by Magistrate Judge Aaron E. Goodstein. *See* (Docket #16 and #17). This Court's screening order nevertheless allowed Beal to proceed generally on a claim of sexual harassment, without explicitly tying the claim to the first two grounds. (Docket #45 at 4).

It appears, then, that Beal was allowed to proceed on the showering issue. However, Schneider did not recognize this in the instant motion. (Docket #54 at 2). Beal himself made no mention of it in his brief, though he referenced it as part of the April 30 comment investigation. *See* (Docket #59 and #57-1 at 16-17). The Court cannot grant summary judgment or otherwise dispose of the showering ground without such relief being requested by Schneider and affording Beal an opportunity to oppose that request. *See Nabozny v. Podlesny*, 92 F.3d 446, 457 n.9 (7th Cir. 1996). This is not a claim that was simply abandoned in the face of Schneider's argument against it. *See Maclin v. SBC Ameritech*, 520 F.3d 781, 786 (7th Cir. 2008).

To remedy this oversight, the Court will, contemporaneously with this order, issue an amended, abbreviated trial scheduling order, to resolve the showering issue on an expedited basis. The Court further notes for the parties' benefit that no dates or deadlines of the amended trial scheduling order will be extended absent a clear showing of exceptional circumstances.

3.3 Appointment of Counsel

Finally, the Court addresses Beal's outstanding motion for appointment of counsel. (Docket #61). Under 28 U.S.C. § 1915(e)(1), the "court may request an attorney to represent any person unable to afford counsel." The Court should seek counsel to represent a plaintiff if the plaintiff: (1) has made reasonable attempts to secure counsel; and (2) "the difficulty of the case—factually and legally—exceeds the particular plaintiff's capacity as a layperson to coherently present it." Navejar v. Iyiola, 718 F.3d 692, 696 (7th Cir. 2013) (quoting *Pruitt v. Mote*, 503 F.3d 647, 655 (7th Cir. 2007) (en banc)).

The Court will deny the plaintiff's motion under the second prong of the *Pruitt* test. This case is not complex; the only issue remaining is what Schneider did in relation to inmate showering practices, and whether that constituted "cruel and unusual" harassment. Save for ignoring the procedural requirements related to Schneider's motion as discussed above, Beal appears more than capable of filing motions and pleadings as he deems appropriate. (Docket #42, #50, #52, and #59). Beal has not demonstrated that the case exceeds his capacity to present it, and as such, the Court concludes that recruitment of counsel is not necessary at this time. Beal's motion to appoint counsel will be denied without prejudice.

3. CONCLUSION

On the undisputed facts before the Court, no reasonable jury could conclude that Schneider's April 30, 2013 comment or the urination instances, or both together, rose to the level of "cruel and unusual" harassment. The Court must, therefore, dismiss those as grounds for Beal's Eighth Amendment harassment claim. The Court will allow Beal to proceed on the showering grounds as discussed above, so the claim itself will not be dismissed.

Accordingly,

IT IS ORDERED that the defendant's motion for summary judgment (Docket #53) be and the same is hereby **GRANTED** in part and **DENIED** in part;

IT IS FURTHER ORDERED that the plaintiff is no longer permitted to proceed on his Eighth Amendment claim for sexual harassment on the bases of: 1) the defendant's allegedly sexual comment of April 30, 2013, or 2)

the defendant's practice of urinating in a bathroom near the plaintiff with the door open; and

IT IS FURTHER ORDERED that the plaintiff's motion for appointment of counsel (Docket #61) be and the same is hereby **DENIED** without prejudice.

Dated at Milwaukee, Wisconsin, this 20th day of January 2017.

BY THE COURT:

J.P. Stad/mueller

U.S. District Judge